FORM PTO-1082 TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

BOX APPLICATIONS

Re:

New U.S. Patent Application

For:

METHOD AND APPARATUS FOR RECORDING

AND REPRODUCING INFORMATION ON AND

FROM OPTICAL DISC

Inventor(s):

Yasuhiro UEKI

Attorney Docket: 0102/0247

Sir:

Attached hereto is the application identified above, including 104 of textual specification including 21 claims and 20 sheets of drawings.

- This application is a divisional of U.S. Patent Application No. 10/290,243 filed [XX] November 8, 2002 which in turn is a divisional of U.S. Patent Application No. 10/132,266 filed April 26, 2002, since matured to U.S. Patent No. 6,496,459 on December 17, 2002 which in turn is a divisional of U.S. Patent Application No. 09/697,514 filed October 27, 2000, since matured to U.S. Patent No. 6,404,713 on June 11, 2002, the disclosure of which being incorporated by reference to this application.
- [XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

•	(Col 1)		(Col 2)	(Col 3)	SMAI	LL ENTITY		NON-S	MALL ENTITY
	NO. FILED			NO. EXTRA	RATE	FEE	OR	RATE	FEE
TOTAL	3	minus	20		x9=	0		x18=	\$
INDEP	3	minus	3		x43=	0		x86=	\$
_ Firs	_ First Presentation, Multiple Dependent Claims					0		+290=	\$
	Base Filing Fee								\$770
TOTAL F	TOTAL FILING FEE* (accounting for possible small entity status)						OR	TOTAL	\$770

Atty Docket No. 0102/0247 Customer No. 021395

[]	*Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:						
	[] is filed herewith;[] will be filed at a later date;[] was filed in the parent application.						
[XX]	Foreign priority is claimed under 35 U.S.C. § 119 from:						
	Japanese Patent Application No. 11-325956 filed November 16, 1999 Japanese Patent Application No. 11-334099 filed November 25, 1999						
[XX]	Priority documents were submitted in application No. 09/697,514 on March 16, 2001.						
[]	There is no claim to foreign priority under 35 U.S.C. § 119.						
[XX]	A copy of the Declaration submitted in application No. 09/697,514 is attached herewith.						
[XX]	A Change of Attorney's Address is submitted herewith.						
	Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).						
[XX]	Formal drawing(s) is/are attached.						
[]	Formal drawing(s) will be submitted at a later date.						
[XX]	An Information Disclosure Statement and PTO-1449 are submitted.						
[XX]	The Assignment document in application No. 09/697,514 application was recorded on October 27, 2000 on Reel 011253, Frame 0677.						
[XX]	The Commissioner is hereby authorized to charge the amount of \$\frac{770.00}{2770.00}\$ for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §\sqrt{3} 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached						

Atty Docket No. 0102/0247 Customer No. 021395

- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during* the entire pendency of the present application, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to <u>Louis Woo</u> at **Customer No. 021395** whose address is:

Law Office of Louis Woo 717 North Fayette Street Alexandria, Virginia 22314 (703) 299-4090

Respectfully submitted,

Louis Woo, Reg. No. 31,730

Law Offices of Louis Woo 717 North Fayette Street

Alexandria, Virginia 22314

Phone: (703) 299-4090

Date: 4 25 20-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiro UEKI

Serial No.

Filed: concurrently herewith

For: METHOD AND APPARATUS

FOR RECORDING AND

REPRODUCING

INFORMATION ON AND FROM OPTICAL DISC

Art Unit:

Examiner:

Atty Docket: 0102/0247

CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22314

Sir:

Please change the correspondence address and send all correspondence for this application to the following address:

Law Office of Louis Woo 717 North Fayette Street Alexandria, Virginia 22314

Please direct telephone calls to:

(703) 299-4090

Respectfully submitted,

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Date: Ich 25 2004